

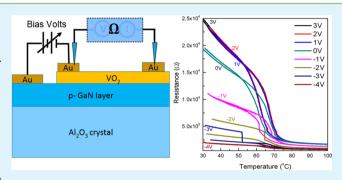
Control of the Metal-Insulator Transition in VO₂ Epitaxial Film by **Modifying Carrier Density**

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Supporting Information

ABSTRACT: External controlling the phase transition behavior of vanadium dioxide is important to realize its practical applications as energy-efficient electronic devices. Because of its relatively high phase transition temperature of 68 °C, the central challenge for VO₂-based electronics, lies in finding an energy efficient way, to modulate the phase transition in a reversible and reproducible manner. In this work, we report an experimental realization of p-n heterojunctions by growing VO₂ film on p-type GaN substrate. By adding the bias voltage on the p-n junction, the metalinsulator transition behavior of VO2 film can be changed continuously. It is demonstrated that the phase transition of



VO2 film is closely associated with the carrier distribution within the space charge region, which can be directly controlled by the bias voltage. Our findings offer novel opportunities for modulating the phase transition of VO2 film in a reversible way as well as extending the concept of electric-field modulation on other phase transition materials.

KEYWORDS: vanadium dioxide, p-GaN, phase transition modulation, carrier concentration

1. INTRODUCTION

Strongly correlated materials have attracted widespread attention because of the significant changes in physical properties caused by phase transition, which makes these materials of particular interest for their potential device applications such as electrical switches and sensors. As a typical transition metal oxide, VO2 material shows a first-order reversible metal-to-insulator transition (MIT) with the critical temperature (T_c) of 68 °C. Across the phase transition, the resistance of VO₂ undergoes a large change (up to 5 orders of magnitude) from monoclinic insulating state to a hightemperature metal phase with rutile structure. In addition, the optical transmission also exhibit distinct changes, especially in the infrared region. These excellent characteristics of VO₂ material make it suitable for many promising applications in various fields such as smart windows,2 optical switching devices,3 memory materials,4 photoconductive infrared detectors,⁵ and thermal/chemical sensors.^{6,7}

However, the critical MIT temperature of 68 °C is still too high to satisfy those practical applications based on VO₂ material. Thus, much effort has been devoted to modulation the phase transition behavior of VO2 and attempt to tune the T_c close to room temperature. Though the microscopic origin of the MIT is still an open problem, the most recognized models of the transition are based on the strong electron correlation (Mott-Hubbard transition) and electron-lattice coupling (Peierls transition). Accordingly, the possible ways to control the phase transition behavior of VO2 are mainly focusing on modulating the crystal lattice and the charge/ electronic density. In fact, many experiments have been demonstrated that the T_c of VO₂ can be effectively reduced by higher valence metal ions doping,^{8–11} by adding internal/external stress,^{12–16} or by adding the external electric-field.^{17–19}

Adding the bias voltage on VO₂ through an ionic liquid gate is an easy way to examine the effects of electric field on the phase transition behavior. Recently, M. Nakano's group 18 fabricated an electric double-layer transistor (EDLT) based on VO₂ and investigated the electrical switching behavior between the metallic tetragonal phase and the insulating monoclinic phase. They suggested that the electrostatic charging at a surface triggered the motion of localized charge carriers in the bulk material, finally leading to the metallic ground state. Based on similar experiment with ion-liquid, S.S. Parkin group¹⁹ proposed that the electrolyte gating of VO2 created an electric field-induced oxygen vacancies, decreasing the phase transition temperature. Although there was distinct disagreement between them, two different experiments both pointed out the important role of the electric field on the phase transition

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and demonstrated the possibility of a reversible control of the phase transition by an external bias voltage.

It is well-known that within a normal semiconductor p-n junction an internal electric field region occurs due to the diffusion of electron and hole carriers at the interface. The addition of an external bias voltage on the p-n junction may tune the barrier width/depletion layer, a possible way to achieve an efficient control of the carrier/charge density at the interfacial layer. Actually, it has been investigated to form the junction between n-type VO2 and a p-type conventional semiconductor in previous study, but there still exist some obstacles to fabricate p-n junction with rectifying transport properties owing to crystal symmetry, high work function^{20–24} and high carrier densty^{25,26} of VO₂. Zhou. et al.^{27,28} prepared the VO₂/GaN p-n junction and systematically investigated the interfacial growth behavior, the band offset and minority carrier dynamics, whereas the MIT characteristics and transition mechanism of VO₂ under different bias were not discussed in their experiment.

In the current study, we have prepared high-quality epitaxial VO_2 films on p-GaN layers on sapphire substrates and studied the MIT characteristics under different external bias voltage. Our results indicated that the phase transition of VO_2 thin film can be modulated by the external bias voltage, resulting in the controllable metallic phase at room temperature. This bias-driven phase transition is suggested to be closely associated with the depletion layer at the interface and the carrier density in VO_2 epitaxial film. Our experiment results show that integrating the VO_2 layer onto hetero p—n junction is an ideal model system to better understand the phase transition in VO_2 and explore the feasibility to design electronic/optical devices with new functionalities.

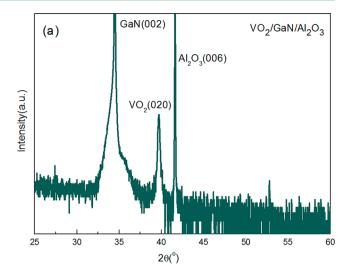
2. EXPERIMENTAL METHODS

The commercially p-type GaN on c-plane sapphire grown by MOCVD was used in this study (Mg-doped, hole concentration $\sim\!1.37\times10^{17}{\rm cm}^{-3}$). Prior to the VO $_2$ deposition, the GaN substrates were ultrasonically cleaned consequently in acetone and isopropanol for 10 min and followed by deionized water rinse. The VO $_2$ films were grown on this p-GaN (0001) layer by an rf-plasma assisted oxide-MBE instrument working with a base pressure better than 3×10^{-9} Torr. During the deposition, the substrate temperature T_s was maintained at 530 °C and the growth pressure was maintained at 3.2×10^{-5} Torr. The Reflection High Energy Electron Diffraction (RHEED) was used to monitor the whole growth process. The film thickness is controlled by adjusting the deposition time and two samples are prepared with the final thickness of about 25 and 90 nm, respectively. The details of the epitaxial film preparation are reported elsewhere. 29

The prepared epitaxial thin films were characterized by Raman spectroscopy with a 532 nm excitation laser source. The crystal structure and the growth orientation were characterized by X-ray diffraction (XRD) at the diffraction station at the Shanghai Synchrotron Radiation Facility (SSRF BL14B1 station). The φ -scan XRD were also performed in order to examine the epitaxial growth behavior at the interface. The I–V curve measurements were conducted by Keithley 2410 sourcemeter on a sample stage with variable temperature. The measurements of the electrical resistance vs temperature for all samples were made with a customized four-probe system installed on a variable-temperature sample stage. The external bias voltage was applied to two poles which were contacted with the surface of p-GaN and VO $_{22}$ respectively.

3. RESULTS AND DISCUSSION

Figure 1a shows the XRD diffraction in regular θ -2 θ scanning mode for the VO₂/p-GaN/Al₂O₃ sample. The peak located at



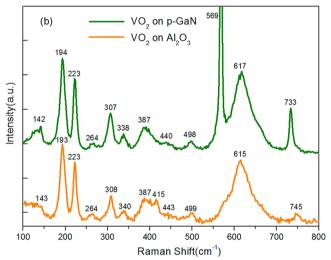
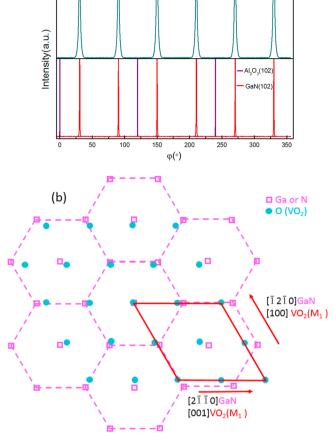


Figure 1. (a) θ – 2θ XRD patterns for the VO₂/p-GaN/Al₂O₃ structure; (b) Raman spectra for the VO₂ films on sapphire substrate and GaN/sapphire substrate.

 $2\theta=34.72^\circ$ corresponds to the GaN layer (002) plane, which has been confirmed in previous reports. 28,30 The 2θ peak at 41.68° is attributed to Al_2O_3 (006) diffractions, while the 2θ peak at 39.7° is from the VO $_2$ (020) or (002) diffraction. There are no other diffraction peaks existed in the XRD curves, indicating the highly oriented growth of the VO $_2$ epitaxial film. Figure 1b showed the room-temperature Raman scattering curves for the VO $_2$ /p-GaN/Al $_2$ O $_3$ structure and VO $_2$ /Al $_2$ O $_3$ structure, respectively. These two spectra coincide with eath other, except for the main peak at 569 and 733 cm $^{-1}$ from the E2 (high) mode and A1 (LO) mode of GaN layer. The peaks at at 194, 223, 264, 307, 338, 387, 440, 498, and 617 cm $^{-1}$ were all from the monoclinc VO $_2$, indicating the pure M1 phase of the deposited VO $_2$ film on p-GaN/Al $_2$ O $_3$ substrate.

To further examine the epitaxial growth behavire of VO₂ layer on GaN/Al₂O₃ surface, we conducted the φ -scan XRD study. Figure 2a shows the φ -scan patterns of VO₂ (011) GaN (102) and Al₂O₃ (102) plane. In the VO₂ (011) φ -scan spectrum, we clearly detected six peaks due to the 2-fold symmetry along the [020] orientation and the presence of three VO₂ planes: (011), (-111), and (110), rotated by 120° each other. As a consequence, the (-111) and (110) appear in VO₂ (011) φ -scan process due to the approximate Bragg angles and

(a)



VO₂(011)

Figure 2. (a) φ -scan XRD from VO₂ (011) plane, GaN (102) plane, and Al₂O₃ (102) plane; (b) relation for the in-plane lattice matching for VO₂ film and GaN layer.

pole angles $(2\theta_{110}=2\theta_{-111}=26.87^\circ, \psi_{110}=\psi_{-111}=42.9^\circ)$ as that of VO₂ (020) plane. Though the (020) plane and (002) plane had the same Bragg angle and pole angles, the (110) and (-111) plane could not appear on the hypothesis of the (002) assignment because of the different pole angles ($\psi_{110}=68.37^\circ, \psi_{-111}=68.74^\circ$, while $\psi_{011}=45.1^\circ$). Furthermore, the diffraction peak resulted from other planes would not occur because of the different Bragg angles. Thus, the assignment of this peak at $2\theta \sim 39.9^\circ$ to (002) can be ruled out and the VO₂ (011) φ -scan experiment unambiguously confirm the [020] growth orientation as shown in Figure 1a.

From Figure 2a, it was observed that Al₂O₃ had 3-fold symmetry, whereas the p-GaN had 6-fold symmetry, both with [001] direction as rotational axis. Besides, there was 30° deviation between the Al₂O₃ (102) peaks and the GaN (102) peaks in the φ-scan. Moreover, the VO₂ (011) peak positions were accorded with the GaN (102) exactly, which suggests that the a-axis of VO₂ was aligned with the b-axis of GaN lattice. Accordingly, the lattice interface matching relation could be written as Al₂O₃ [-12-10]//GaN [0-110], Al₂O₃ [10-10]//GaN [2-1-10] or Al₂O₃ [11-20]//GaN [0-110], Al₂O₃ [1-100]//GaN [2-1-10]. The conclusions were consistent with the previous work²⁸ and did demonstrate the epitaxial growth at the interface for VO₂/p-GaN/Al₂O₃ structure. Furthermore, the X-ray Reflectometry (XRR, see the Supporting Information, Figure 1s) results showed clear oscillation peaks for the VO₂/p-

 GaN/Al_2O_3 structure, further confirming the high quality of the epitaxial film as well as the p-n jucntion.

The electric properties of the VO_2/p -GaN/ Al_2O_3 samples were obtained measuring the temperature dependent I-V curves of the p-n junctions. The insert in Figure 3a showed the

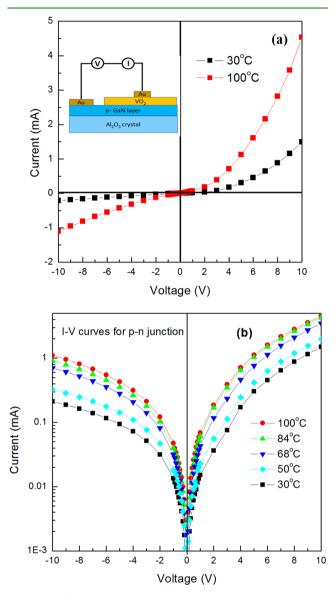


Figure 3. (a) I-V curves recorded at 30 and 100 °C, the inset shows the configuration of the measurement; (b) I-V curves recorded across the phase transition of VO₂ layer.

measurement geometric scheme of VO₂/p-GaN heterojunction. The gold electrodes were deposited on GaN and VO₂ surface and the bias voltage was added for I-V testing. The I-V curves plotted in Figure 3a were measured for the n-VO₂/p-GaN junction at 30 and 100 °C, corresponding to temperatures before and after the phase transition of the VO₂ layer. Other I-V curves corresponding to different temperatures are showed in Figure 3b and it could be observed that all I-V curves showed clear rectifying behaviors within the testing temperature region. Furthermore, it was observed that the current increased greatly when the temperature was over the transition temperature (T_c). These phenomena should be related to the semiconductor to metal phase transition of VO₂ across the T_c . When the

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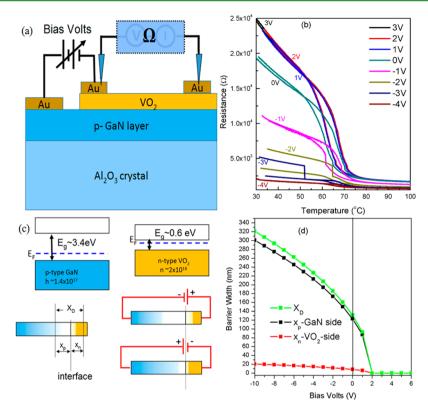


Figure 4. (a) Scheme for the electric measurement; (b) resistance as the function of temperature for 25 nm thick VO₂ layer under different bias voltages; (c) band structure of p-GaN and n-VO₂ as well as the formation of p-n junction; (d) the barrier width/depletion layer as the function of bias volts.

temperature increased over the critical temperature, the p-n junction was almost degenerated to metal/semiconductor junction as a Schottky contact. In addition, we noted that the current-voltage curve were not exponential but nearly linear at large forward bias above T_c , which should be due to the considerable bulk p-GaN resistance dominating the whole p-n junction beyond the critical temperature.

For the VO₂/GaN p-n junction, there existed a barrier layer/depletion layer located at the interface. The barrier width as well as the carrier concentration at the interface could be adjusted by adding the forward or inverse bias voltage. Resultantly, in the n-type VO₂ epitaxial film, the electron carrier density could be changed as the function of the adding voltage, which should play an important role on the phase transition behavior of VO₂ layer. To testing this assumption, we did the VO2 resistance measurement as the function of temperature under different bias voltages. The testing scheme is shown in Figure 4a. The obtained resistance vs temperature curves were plotted in Figure 4b with the bias voltages from 3.0 V to -4.0 V. It was observed that when the inverse volts were added, the profile of the R-T curves changed greatly. The phase transition behavior was weakened and the total resistance of VO₂ was decreased to close to the metallic phase at room temperature. However, when the forward volts less than 3.0 V were added, the phase transition related R-T curves showed little differences. From these phase transition testing with external bias volts, it was suggested that the phase transition behavior could be easily modulated by the reverse voltages added on the p-n junction.

This phase transition modulation should be directly associated with the internal electric field as well as the carrier density variation located at the depletion layer. Figure 4 (c)

shows the band structure of p-GaN, the n-VO₂ and the formation of p-n junction based on them. The X_D is for the total barrier width at the p-n junction interface, whereas the x_p and x_n are for the depletion layer in p-GaN side and VO₂ side, respectively. By Hall measurement at room temperature, the electron concentration for the prepared VO₂ is about 1.2 × $10^{18}/\text{cm}^3$ to 3.4 × $10^{18}/\text{cm}^3$, which is quite close to the reported value of $n_i \approx 2 \times 10^{18}/\text{cm}^3$ in VO₂ layer. Considering the hole carrier concentration of $n_p \approx 1.37 \times 10^{17}/\text{cm}^3$ in the commercial GaN substrate, the barrier width/depletion layer can be calculated according to the following three fomula:

the total barrier width: $X_{\rm D}$

$$= \left[\frac{2\varepsilon_1 \varepsilon_2 (n_i + n_p)^2 (V_D - V)}{e n_i n_p (\varepsilon_1 n_p + \varepsilon_2 n_i)} \right]^{1/2}$$
(1)

the barrier width in VO2:
$$x_{\rm n} = \left[\frac{2\varepsilon_{\rm l}\varepsilon_{\rm 2}n_{\rm p}(V_{\rm D}-V)}{en_{\rm i}(\varepsilon_{\rm l}n_{\rm p}+\varepsilon_{\rm 2}n_{\rm i})}\right]^{1/2} \eqno(2)$$

the barrier width in GaN:
$$x_{\rm p} = \left[\frac{2\varepsilon_1\varepsilon_2n_{\rm i}(V_{\rm D}-V)}{\epsilon n_{\rm p}(\varepsilon_1n_{\rm p}+\varepsilon_2n_{\rm i})}\right]^{/2}$$
 (3)

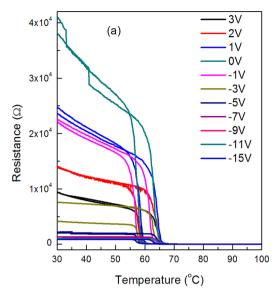
where the $V_{\rm D}$ is the contact potential difference, the V is the added bias volts. The $n_{\rm p}$ and $n_{\rm i}$, ε_1 and ε_2 are the carrier concentrations and dielectric constants for GaN and VO₂ layer, respectively. From the work function of 5.2 eV for VO₂ and 7.5 eV for p-GaN, we can estimate the $V_{\rm D}$ for the p-GaN/VO₂

junction to be 2.3 eV. The dielectric constant is 30-40 for VO_2 and 9.5 for p-GaN according to previous literature. Thus, based on the formula, the carrier width/depletion layer as the function of bias volts are plotted in Figure 4d. From the plot, it can be observed that the barrier width/depletion layer is closely associated with the added bias voltage, especially within the inverse volts range. When the forward bias volts are added, the barrier width/depletion layer will be decreased greatly and disappeared after overcoming the ~ 2.0 eV energy-barrier.

Because the VO₂ phase transition process is the main interest, we pay more attention on the VO2 side of the p-GaN/ VO₂ junction. From Figure 4d, it is clear that the depletion layer in VO2 is up to the maximum depth of 20 nm when adding -10.0 V on the p-n junction. Accordingly, for the epitaxial VO₂ thin film (the thickness ~ 25 nm), when we add the inverse bias volt up to 10.0 V, the total VO₂ film will be under the control of the internal electric field. As a result, the VO₂ film is completely involved in the depletion layer at the interface due to the carrier diffusion under the unbalance situation. Accordingly, for the first VO₂/GaN sample with the thickness of ~25 nm, the adding inverse bias voltage has pronounced effect on its phase transition behavior considering the barrier width, just as showing in Figure 4 (b). It can be observed that the resistance is very sensitive to the bias voltage. When -4.0 V inverse bias volt is added, the resistance of VO₂ layer is dropped greatly and almost showing the metallic states at room temperature.

However, for the thicker VO₂ film (the second sample with the thickness of ~90 nm) on p-GaN, the resistance as the function of temperature is plotted in Figure 5a. It can be observed that the phase transition behavior is still affected by the added bias voltage, although it is not so sensitive. Even under the inverse bias voltage of -15.0 V, the R-T curve still shows the clear hysteretic loop for its phase transition behavior. It is understandable since for the thicker VO₂ layer, the depletion layer at the interface is not dominating the whole VO₂ film when the inverse bias voltage is added according to the curve shown in Figure 4d. Figure 5b shows the resistance jumping behavior of this sample from high resistance to low resistance when -5.0 V inverse voltage is adding. It can be observed that the switching effect is pronounced and reproducible, which should be useful for the practical applications such as logic circuit, sensor or memory.

From the above results, it is suggested that the transition behavior of VO₂ film can be regulated by varying the depletion region controlled by the external inverse voltage added on the p-GaN/VO₂ p-n junction. As it is well-known, VO₂ is a transition metal oxide with a d1 electron configuration and a band gap of ~0.6 eV, so the majority carrier of the intrinsic VO₂ layer is electron. When the VO₂ film depositing on p-GaN layer, the p-n junction will be formed (see the Supporting Information, Figure 2s), which will be controlled by the forward bias and the reverse bias voltage. The internal barrier will be produced at the interface since a large number of hole carrier from p-GaN and a lot of electron carrier from VO2 have diffused each other at the interface of VO₂/p-GaN. Resultantly, the internal electric field is built near the interface of VO₂/p-GaN and hindered the spread of the majority carrier. Finally, the diffusion reaches the equilibrium state because of the balance between the thermal motion and the internal electric field, which means that the depletion layer has been formed. So the width of the depletion layer can be controlled by the extenal field bias.



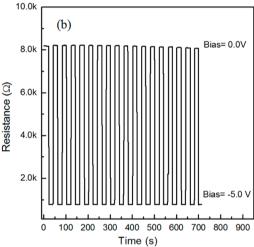


Figure 5. (a) Resistance as the function of temperature for 90 nm thick VO_2 layer under different bias voltages; (b) VO_2 layer shows the switching behavior from high resistance to low resistance when adding the -5.0 V bias voltage.

When focusing on the epitaxial VO₂ thin film on p-GaN layer, its phase transition should be closely associated with the charge/carrier density. In fact, some previous literatures have reported the effects of charge carriers concentration on the phase transition modualtion of VO₂ material, ³³ indicating that the carriers intensity does promote the metallic/rutile phase. The ion-liquid assisted VO2-FET devices also demonstrated that the electrostatic charging at a surface drives all the previously localized charge carriers in the bulk material into motion, leading to the emergence of a three-dimensional metallic ground state.¹⁸ In our current experiment, we achieved the electron density control by the bias voltage adding on p-n junction. When the inverse bias volt is added, the localized electrons in VO2 thin film will be moved toward GaN side. Consequently, it can be observed that adding the higher inverse bias will induce more pronounced resistance decreasing of the VO₂ film, and finally result into the final metallic-like phase. On the other hand, if the forward bias voltage is added and overcoming the energy-barrier at the interface, the internal electric field at the interface is dissappeared, which has no obvious effect on delocalizing the electrons in VO₂ layer. Thus, the phase transition behavior is not changing too much as shown in Figure 4b. Our current results demonstrate the similar phenomena as the ion-liquid gated VO₂-based FET devices by the way of p—n junction under the inverse bias voltage.

1. CONCLUSION

High-quality VO2 epitaxial crystal films have been prepared by oxide-MBE method on p-type GaN/sapphire substrate to form an idea p-n junction. This junction displayed clear rectifying characteristics at room temperature and shown Schottkey contact above the phase transition temperature of VO₂ layer. By applying a bias voltage to the p-n junction, we achieved a phase transition modulation of the VO₂ film through a carrier/ electron density control. Calculations of the barrier width/ depletion layer at the interface as the function of the bias voltage, point out that for the thinner VO2 epitaxial film, the phase transition modulation is effective and a metallic phase can be achieved at room temperature with a small inverse bias voltage. Furthermore, this bias voltage-assisted phase transition control exhibits a clear resistance switching behavior for the VO₂ layer, with potential applications in a logical memory or in sensor devices.

This work shows the possibility of achieving a phase transition control of VO₂ thin films through a p-n junction. The mechanism may allow the investigation of novel phase transitions mechanisms tuned with an external voltage. It offers also novel opportunities to implement Mott transistors, narrow gap semiconductor infrared detectors, or investigation of the low-dimensional correlated electron behavior.

ASSOCIATED CONTENT

S Supporting Information

Synchrotron-based X-ray reflectometry (XRR) for the VO_2 layer on GaN/sapphire substrate and the carrier distribution schemes for the p-n junction under the conditions of the balance state, adding forward bias and reverse bias states, respectively. This material is available free of charge via the Internet at http://pubs.acs.org/.

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Notes

The authors declare no competing financial interest.

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